



PATENT 30  
Attorney Docket No.: 111325-43 (160100)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Patent Application of:

Guillermo LAO, *et al.*

Application No.: 10/046,695

Filed: January 17, 2002

For: METHOD AND APPARATUS  
FOR MANAGING DIGITAL CONTENT  
USAGE RIGHTS

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) Examiner: Unassigned  
)  
) Group Art Unit: 2171  
)  
)  
) Confirmation No.: 3596  
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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (b)**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22131

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits for the above-referenced application. The listed documents were cited in a European Search Report. The Search Report was mailed on July 20, 2004. Copies of the listed documents are attached.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (111325-43/160100).

Respectfully submitted,  
**NIXON PEABODY, LLP**

By: 

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Enclosures: PTO-1449 w/4 references  
and European Search Report

/lms



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/046,695
Filing Date	January 17, 2002
First Named Inventor	Guillermo LAO, et al.
Art Unit	3596
Examiner Name	Unassigned
Attorney Docket Number	111325-43 (160100)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
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						OCT 28 2004
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	00/08909	WIPO	February 24, 2000	International Business Machines Corporation		
		WO	00/39733	WIPO	July 6, 2000	Universal Music Group		
		EP	0 715 244 A1	EPO	June 5, 1996	Xerox Corporation		

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report dated July 20, 2004 in EP 02 70 1056	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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